

(A) Submission of CAIR NO<sub>x</sub> allowance transfers.

A CAIR authorized account representative seeking recordation of a CAIR NO<sub>x</sub> allowance transfer shall submit the transfer to the administrator. To be considered correctly submitted, the CAIR NO<sub>x</sub> allowance transfer shall include the following elements, in a format specified by the administrator:

- (1) The account numbers for both the transferor and transferee accounts.
- (2) The serial number of each CAIR NO<sub>x</sub> allowance that is in the transferor account and is to be transferred.
- (3) The name and signature of the CAIR authorized account representative of the transferor account and the date signed.

(B) Recordation.

- (1) Within five business days, except as provided in paragraph (B)(2) of this rule, of receiving a CAIR NO<sub>x</sub> allowance transfer, the administrator shall record a CAIR NO<sub>x</sub> allowance transfer by moving each CAIR NO<sub>x</sub> allowance from the transferor account to the transferee account as specified by the request, provided the following occurs:
  - (a) The transfer is correctly submitted under paragraph (A) of this rule.
  - (b) The transferor account includes each CAIR NO<sub>x</sub> allowance identified by serial number in the transfer.
- (2) A CAIR NO<sub>x</sub> allowance transfer that is submitted for recordation after the allowance transfer deadline for a control period and that includes any CAIR NO<sub>x</sub> allowances allocated for any control period before such allowance transfer deadline will not be recorded until after the administrator completes the deductions under paragraph (D) of rule 3745-109-05 of the Administrative Code for the control period immediately before such allowance transfer deadline.
- (3) Where a CAIR NO<sub>x</sub> allowance transfer submitted for recordation fails to meet paragraph (B)(1) of this rule, the administrator shall not record such transfer.

(C) Notification.

- (1) Notification of recordation. Within five business days of recordation of a CAIR NO<sub>x</sub> allowance transfer under paragraph (B) of this rule, the administrator shall

notify the CAIR authorized account representatives of both the transferor and transferee accounts.

- (2) Notification of non-recordation. Within ten business days of receipt of a CAIR NOx allowance transfer that fails to meet paragraph (B)(1) of this rule, the administrator shall notify the CAIR authorized account representatives of both accounts subject to the transfer of a decision not to record the transfer, and the reasons for such non-recordation.
- (3) Nothing in this rule shall preclude the submission of a CAIR NOx allowance transfer for recordation following notification of non-recordation.

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